

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William M. Shapiro, et al. Art Unit : Unknown  
Serial No. : to be assigned Examiner : Unknown  
Filed : October 31, 2003  
Title : OFFLINE ACCESS IN A DOCUMENT CONTROL SYSTEM

**Mail Stop Patent Application**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed with the application. Accordingly, only copies of foreign patent documents and non-patent literature are enclosed. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: Oct. 31, 2003

  
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| Substitute Form PTO-1449<br>(Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>07844-621001   | Application No.<br>to be assigned |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) |  | Applicant<br>William M. Shapiro, et al. |                                   |
|  |  | Filing Date<br>October 31, 2003         | Group Art Unit<br>to be assigned  |

| <b>U.S. Patent Documents</b> |           |                 |                  |               |       |          |                            |
|------------------------------|-----------|-----------------|------------------|---------------|-------|----------|----------------------------|
| Examiner Initial             | Desig. ID | Document Number | Publication Date | Patentee      | Class | Subclass | Filing Date If Appropriate |
|                              | AA        | 6,289,450       | 09/11/2001       | Pensak et al. |       |          |                            |
|                              | AB        | 6,339,825       | 01/15/2002       | Pensak et al. |       |          |                            |
|                              | AC        | 6,449,721       | 09/10/2003       | Pensak et al. |       |          |                            |
|                              | AD        |                 |                  |               |       |          |                            |
|                              | AE        |                 |                  |               |       |          |                            |

| <b>Foreign Patent Documents or Published Foreign Patent Applications</b> |           |                 |                  |                          |       |          |             |
|--|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|
| Examiner Initial   | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation |
|  |           |                 |                  |                          |       |          | Yes      No |
|  | AF        |                 |                  |                          |       |          |             |
|  | AG        |                 |                  |                          |       |          |             |

| <b>Other Documents (include Author, Title, Date, and Place of Publication)</b> |           |   |  |  |  |  |  |
|--|-----------|---|--|--|--|--|--|
| Examiner Initial   | Desig. ID | Document  |  |  |  |  |  |
|  | AH        | PageRecall: The Key to Document Protection, Authentica, Inc. Whitepaper,<br><a href="http://www.authentica.com/products/white">http://www.authentica.com/products/white</a> . |  |  |  |  |  |
|  | AI        |   |  |  |  |  |  |
|  | AJ        |   |  |  |  |  |  |
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|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |